



IN THE UNITED STATES PATENT AND TRADEMARKS OFFICE

3738
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#2/IDS

In re Application of:
Patel, Anilbhai

Serial No: 10/074,975

Confirmation No: 6901

Filed: 13 February 2002

Examiner: not known

Group Art Unit: 3738

For: "IMPLANT SYSTEM FOR GLAUCOMA SURGERY"

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231 on this date:	
Date:	12 April 2002
Name:	Valerie Russell
Signature:	<i>Valerie Russell</i>

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INFORMATION DISCLOSURE STATEMENT

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §1.56, 1.57 and 1.98), enclosed are copies of the documents identified on accompanying Form PTO/SB/08A. Applicant does not concede that these materials constitute prior art within the meaning of the United States patent statutes.

Applicant requests that the listed references be considered during prosecution of this application and that the references appear among the "References Cited" on any patent issuing from the application.

Respectfully submitted,

ALCON RESEARCH, LTD.

Date

4/12/02

By

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Registration No. 34,922

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Attorney Docket No.: 1746 US



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number	10/074,975 Conf. No. 6901
Filing Date	13 February 2002
First Named Inventor	Patel, Anilbhai S.
Art Unit	3738
Examiner Name	not yet assigned
Attorney Docket Number	1746

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	A1	US- 4,037,604	07-26-1977	Newkirk	
	A2	US- 4,175,563	11-27-1979	Arenberg, et al	
	A3	US- 4,402,681	09-06-1983	Haas, et al	
	A4	US- 4,428,746	01-31-1984	Mendez	
	A5	US- 4,521,210	06-04-1985	Wong	
	A6	US- 4,604,087	08-05-1986	Joseph	
	A7	US- 4,722,724	02-02-1988	Schocket	
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	A11	US- 4,946,436	08-07-1990	Smith	
	A12	US- 4,968,296	11-06-1990	Ritch, et al	
	A13	US- 5,041,081	08-20-1991	Odrich	
	A14	US- 5,071,408	12-10-1991	Ahmed	
	A15	US- 5,092,837	03-03-1992	Ritch, et al	
	A16	US- 5,178,604	01-12-1993	Baerveldt, et al	
	A17	US- 5,300,020	04-05-1994	L'Esperance, Jr.	
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	A19	US- 5,342,370	08-30-1994	Simon, et al	
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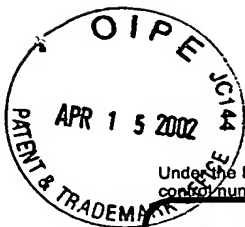
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	A21					
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	A28					
	A29					
	A30					

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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 3

Complete if Known

Application Number 10/874,975 Conf. No. 6901
Filing Date 13 February 2002
First Named Inventor Patel, Rajibhai S.
Art Unit 3738
Examiner Name not yet assigned
Attorney Docket Number 1746

U.S. PATENT DOCUMENTS

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		Number- Kind Code ² (if known)			
	B1	US- 5,360,399	11-01-1994	Stegmann	
	B2	US- 5,370,607	12-06-1994	Memmen	
	B3	US- 5,397,300	03-14-1995	Baerveldt, et al	
	B4	US- 5,411,473	05-02-1995	Ahmed	
	B5	US- 5,433,701	07-18-1995	Rubinstein	
	B6	US- 5,454,796	10-03-1995	Krupin	
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	B8	US- 5,486,165	01-23-1996	Stegmann	
	B9	US- 5,520,631	05-28-1996	Nordquist, et al	
	B10	US- 5,558,629	09-24-1996	Baerveldt, et al	
	B11	US- 5,558,630	09-24-1996	Fisher	
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	B16	US- 5,651,782	07-29-1997	Simon, et al	
	B17	US- 5,702,414	12-30-1997	Richter, et al	
	B18	US- 5,868,697	02-09-1999	Richter, et al	
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		Application Number	10/074,975 Conf. No. 659
		Filing Date	13 February 2002
		First Named Inventor	Patel, Anilbhai S.
		Art Unit	3738
		Examiner Name	not yet assigned
		Attorney Docket Number	1746
Sheet	3	of	3

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	C1	US- 6,001,128	12-14-1999	Graff, et al	
	C2	US- 6,007,510	12-28-1999	Nigam	
	C3	US- 6,050,970	04-18-2000	Baerveldt	
	C4	US- 6,077,299	06-20-2000	Adelberg, et al	
	C5	US- 6,186,974	02-13-2001	Allan, et al	
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	C12	US-			
	C13	US-			
	C14	US-			
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	C16	US-			
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	C18	US-			
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